

32692

Customer Number

Patent  
Case No.: 59418US002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: OUDERKIRK, ANDREW J.  
Application No.: 10/726968 Confirmation No.: 4393  
Filed: December 2, 2003  
Title: PHOSPHOR BASED LIGHT SOURCES HAVING A NON-PLANAR SHORT  
PASS REFLECTOR AND METHOD OF MAKING

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]**

I hereby certify that this correspondence is being:

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Date

Signed by: Andrea Newhouse

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

A copy of the cited foreign publication is enclosed.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311.

**Fees:**

- ☒ Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723.
- ☒ Any required fee will be paid at the time of EFS-Web submission.
- ☒ If necessary, charge any required fee, or credit any overpayment to Deposit Account

No. 13-3723.

Respectfully submitted,

8 Sept 2006  
Date

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Page 1 of 1

**Application Number**

10/726968

**Filing Date**

December 2, 2003

**First Named Inventor**

Ouderkirk, Andrew J.

**Confirmation Number**

6109

**Attorney Case Number**

59418US002

**U.S. Patent Documents**

Exam. Int *	Cite No	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number (Kind Code if Known)			
	A1	US-			
	A2	US-			
	A3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			
	A12	US-			

**Foreign Patent Documents**

Exam. Int *	Cite No	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		City Code	Number-KindCode (if known)				
	B1	WO	2006/035368	04-06-2006			
	B2						
	B3						
	B4						
	B5						
	B6						
	B7						

**OTHER DOCUMENTS**

Exam. Int *	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1		
	C2		
	C3		

**\*Examiner:****Date Considered:**

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.